

**FORM NO. MGT 9**  
**EXTRACT OF ANNUAL RETURN**  
**As on financial year ended on 31.03.2019**  
**Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the**  
**Company (Management & Administration) Rules, 2014.**

**I. REGISTRATION & OTHER DETAILS:**

1.	CIN	U52100MH2007PTC167615
2.	Registration Date	6 <sup>th</sup> February 2007
3.	Name of the Company	SMS WATER GRACE BMW PRIVATE LIMITED
4.	Category/Sub-category of the Company	Private Company Limited by Shares / Non Government Company
5.	Address of the Registered office & contact details	267,Ganesh Phadnavis Bhavan, Near Triangular Park, Dharampeth, Nagpur Maharashtra,440010
6.	Whether listed company	No
7.	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Link Intime India Private Limited Vikhroli (West) C101, 247 Park, L B S Marg Mumbai,400083 Phone: +91 022 49186000

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY** (All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Treatment and disposal of hazardous waste	3822	93.27

**III PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES –**

No. of Companies for which information is being filled - 1.

S. No	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY /ASSOCIATE	% of shares held	Applicable Section
1	SMS LIMITED [Formerly Known as SMS INFRASTRUCTURE LIMITED]	U45201MH1997PLC107906	HOLDING	56.03%	Sec 2(46)

	Regd office: IT Park, 20 S.T.P.I, Gayatri Nagar, Nagpur- 440022.				
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**VI. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)**

i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year[As on 31-March-2018]				No. of Shares held at the end of the year[As on 31-March-2019]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoter s</b>									
<b>(1) Indian</b>									
a) Individual/ HUF	--	4602987	4602987	43.97	--	4602987	4602987	43.97	<b>No Change</b>
b) Central Govt	--	--	--	--	--	--	--	--	--
c) State Govt(s)	--	--	--	--	--	--	--	--	--
d) Bodies Corp.	--	5866181	5866181	56.03	--	5866181	5866181	56.03	<b>No Change</b>
e) Banks / FI	--	--	--	--	--	--	--	--	--
f) Any other	--	--	--	--	--	--	--	--	--
<b>Total shareholding of Promoter (A)</b>	<b>--</b>	<b>10469168</b>	<b>10469168</b>	<b>100</b>	<b>--</b>	<b>10469168</b>	<b>10469168</b>	<b>100</b>	<b>No Change</b>
<b>(2) Foreign</b>									
a) NRIs - Individuals	--	--	--	--	--	--	--	--	--
b) Other – Individuals	--	--	--	--	--	--	--	--	--
c) Bodies Corp.	--	--	--	--	--	--	--	--	--
d) Banks / FI	--	--	--	--	--	--	--	--	--
e) Any Other....	--	--	--	--	--	--	--	--	--
Sub-total (A) (2):-	--	--	--	--	--	--	--	--	--
<b>Total shareholding</b>	<b>--</b>	<b>10469168</b>	<b>10469168</b>	<b>100</b>	<b>--</b>	<b>10469168</b>	<b>10469168</b>	<b>100</b>	<b>No Change</b>

<b>of Promoter (A) = (A)(1)+(A)(2)</b>									
<b>B. Public Shareholding</b>									
h) Foreign Venture Capital Funds	--	--	--	--	--	--	--	--	--
i) Others (specify)	--	--	--	--	--	--	--	--	--
<b>Sub-total (B)(1):-</b>	--	--	--	--	--	--	--	--	--
<b>2. Non- Institutions</b>									
a) Bodies Corp.	--	--	--	--	--	--	--	--	--
i) Indian	--	--	--	--	--	--	--	--	--
ii) Overseas	--	--	--	--	--	--	--	--	--
b) Individuals	--	--	--	--	--	--	--	--	--
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	--	--	--	--	--	--	--	--	--
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	--	--	--	--	--	--	--	--	--
c) Others (specify)	--	--	--	--	--	--	--	--	--
Non Resident Indians	--	--	--	--	--	--	--	--	--
Overseas Corporate Bodies	--	--	--	--	--	--	--	--	--
Foreign Nationals	--	--	--	--	--	--	--	--	--
Clearing Members	--	--	--	--	--	--	--	--	--
Trusts	--	--	--	--	--	--	--	--	--
Foreign Bodies - D R	--	--	--	--	--	--	--	--	--

<b>Sub-total (B)(2):-</b>	--	--	--	--	--	--	--	--	--
Total Public Shareholding (B)=(B)(1)+(B)(2)	--	--	--	--	--	--	--	--	--
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>									
<b>Grand Total (A+B+C)</b>	--	<b>10469168</b>	<b>10469168</b>	<b>100</b>	--	<b>10469168</b>	<b>10469168</b>	<b>100</b>	<b>No Change</b>

ii) Shareholding of Promoter-

S N	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	SMS Limited	5866181	56.033%	--	5866181	56.033%	--	No change
2	Shri Amit Nilawar	4600569	43.944%	--	4600569	43.944%	--	No change
3	Shri Chetan Bora	2418	0.023%	--	2418	0.023%	--	No change

ii) **Change in Promoters' Shareholding (please specify, if there is no change)**

There is no change in shareholding of promoters during the F.Y. 2018-19

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	<b>10469168</b>	100	<b>10469168</b>	100
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	--	--	--	--

	At the end of the year	<b>10469168</b>	100	<b>10469168</b>	100
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**iv) Shareholding Pattern of top ten Shareholders:  
(Other than Directors, Promoters and Holders of GDRs and ADRs):**

SN	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	--	--	--	--
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	--	--	--	--
	At the end of the year	--	--	--	--

**v) Shareholding of Directors and Key Managerial Personnel:**

Directors and Key Managerial Personnel does not hold any shares of the Company.

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	--	--	--	--
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	--	--	--	--
	At the end of the year	--	--	--	--

**V. INDEBTEDNESS - Indebtedness** of the Company including interest outstanding/accrued but not due for payment.

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	1,72,10,712	2,00,000	--	1,74,10,712
ii) Interest due but not paid	--	--	--	--
iii) Interest accrued but not due	1,47,897	17,043	--	1,64,940
<b>Total (i+ii+iii)</b>	1,73,58,609	2,17,043		1,75,75,652
<b>Change in Indebtedness during the financial year</b>				
* Addition	16,68,000	--	--	16,68,000
* Reduction	92,90,994	2,17,043	--	95,08,037
<b>Net Change</b>	-76,22,994	-2,17,043	--	-78,40,037
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	96,29,487	--	--	96,29,487
ii) Interest due but not paid	--	--	--	--
iii) Interest accrued but not due	1,06,128	--	--	1,06,128
<b>Total (i+ii+iii)</b>	97,35,615	0	--	97,35,615

## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-

### A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration (in Rs.)	Name of MD / WTD/ Manager	Total Amount
		Shri Prabal Pratap singh Jadaun Whole-Time Director	
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	7,84,952	7,84,952
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	--	--
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	--	--
2	Stock Option	--	--
3	Sweat Equity	--	--
4	Commission - as % of profit - others, specify...	--	--
5	Others, please specify Contribution to PF	21,600	21,600
	Total (A)	8,06,552/-	8,06,552/-
	* Ceiling as per the Act		

\*The ceiling of remuneration is governed by Section II of Part II of Schedule V of the Companies Act, 2013

### B. Remuneration to other directors

No Remuneration was paid to other Directors

SN.	Particulars of Remuneration	Name of Directors		Total Amount
		Shri Dilip Ganguly	Shri Jayant Padgilwar	
1	Independent Directors	ID	ID	--
	Fee for attending board committee meetings	40,000/-	40,000/-	80,000/-
	Commission	--	--	--
	Others, please specify	--	--	--
	Total (1)	40,000/-	40,000/-	80,000/-
2	Other Non-Executive Directors	--	--	--
	Fee for attending board committee meetings	--	--	--
	Commission	--	--	--
	Others, please specify	--	--	--
	Total (2)	--	-	--

Total (B)=(1+2)	40,000/-	40,000/-	80,000/-
Total Managerial Remuneration	--	--	--
Overall Ceiling as per the Act	--	--	--

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

SN	Particulars of Remuneration (in Rs.)	Key Managerial Personnel		
		Shri Sandeep Choudhary (From 1 <sup>st</sup> April 2019 to 10 <sup>th</sup> June 2019)	Shri Narhari Mendhekar (From 10 <sup>th</sup> June 2019 to 31 <sup>st</sup> March 2019)	Ms. Shamiksha Lagar
		<b>Erstwhile CFO</b>	<b>CFO</b>	<b>CS</b>
1	Gross salary	69,232	2,82,214	2,27,349
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	--		--
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	--		--
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	--		--
2	Stock Option	--		--
3	Sweat Equity	--		--
4	Commission	--		--
	- as % of profit	--		--
	others, specify...	--		--
5	Others, please specify, Contribution to PF	--	15,000	14,352
	Total	69,232	2,97,214	2,41,701



**VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty	--	--	--	--	--
Punishment	--	--	--	--	--
Compounding	--	--	--	--	--
<b>B. DIRECTORS</b>					
Penalty	--	--	--	--	--
Punishment	--	--	--	--	--
Compounding	--	--	--	--	--
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty	--	--	--	--	--
Punishment	--	--	--	--	--
Compounding	--	--	--	--	--

**For and on behalf of the Board of Directors:**

Sd/-

\_\_\_\_\_  
Kishore Malviya  
**Director**  
[DIN: 03272644]

Sd/-

\_\_\_\_\_  
Prabal Pratap Singh  
**Director**  
[DIN: 06913074]

Date: 23<sup>rd</sup> August, 2019  
Place: Nagpur